

Document Segmentation for Labeling with Academic Learning Objectives

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ABSTRACT

Teaching in formal academic environments typically follows a curriculum that specifies learning objectives that need to be met at each phase of a student's academic progression. In this paper, we address the novel task of identifying document *segments* in educational material that are relevant for different learning objectives. Using a dynamic programming algorithm based on a vector space representation of sentences in a document, we automatically segment and then label document segments with learning objectives. We demonstrate the effectiveness of our approach on a real-world education data set. We further demonstrate how our system is useful for related tasks of document passage retrieval and QA using a large publicly available dataset. To the best of our knowledge we are the first to attempt the task of segmenting and labeling education materials with academic learning objectives.

Keywords

text segmentation, document labeling, academic learning objectives, unsupervised

1. INTRODUCTION

The rapid growth of cost-effective smart-phones and media devices, coupled with technologies like Learning Content Management Systems, tutoring systems, digital classrooms, MOOC based eLearning systems etc. are changing the way today's students are educated. A recent survey¹ found that there was a 45% year-on-year uptake between 2013 and 2014 of digital content in the classroom and a nearly 82% uptake in the use of digital textbooks. Of the 400,000 K-12 students surveyed, 37% of them reported using online textbooks for their learning needs. Students and teachers frequently

¹Project Tomorrow, Trends in Digital Learning 2015

search for free and open education resources available online to augment or replace existing learning material. Organizations like MERLOT² and the Open Education Consortium³ offer and promote the use of free learning resources by indexing material available on the web, based only on keywords or user specified meta-data. This makes the identification of the most relevant resources difficult and time consuming. In addition, the use of manually specified meta-data can also result in poor results due to inconsistent meta-data quality, consistency and coverage. Identifying materials most suitable for a learner can be aided by tagging them with learning objectives from different curricula. However, manually labeling material with learning objectives is not scalable since learning standards can contain tens of hundreds of objectives and are prone to frequent revision. Recent work by [3] attempted to address this problem by using external resources such as Wikipedia to expand the context of learning objectives and a *tf-idf* based vector representation of documents and learning objectives. One of the limitations of the system is that it works well only when documents are relatively short in length and relate to a few learning standard objectives. The accuracy of the algorithm reduces when the documents considered are resources such as textbooks due to the dilution of the weights in the *tf-idf* based vector space model. Further, from the perspective of information access, returning a large reference book for a learning objective still burdens the user with the task of identifying the relevant portions of the book. This, therefore, does not adequately address the problem.

In this paper, we address the problem of finding document segments most relevant to learning objectives, using document segmentation [1] and segment ranking. To the best of our knowledge, we are the first to attempt the problem of segmenting and labeling education materials with academic learning objectives.

In summary, our paper makes the following contributions:

- We define the novel task of identifying and labeling document segments with academic learning objectives.

²<http://www.merlot.org>

³<http://www.oecconsortium.org/>

- We present the first system that identifies portions of text most relevant for a learning objective in large educational materials. We demonstrate the effectiveness of our approach on a real world education data set. We report a sentence level $F1$ score of 0.6 and a segment level minimal match accuracy@3 of 0.9
- We demonstrate, using a large publicly available dataset, how our methods can also be used for other NLP tasks such as document passage retrieval and QA.

The rest of the paper is organized as follows: In the next section we describe related work, in section 3 we formally describe our problem statement, section 4 describes our algorithm and implementation details and section 5 presents our detailed experiments. Finally, in section 6 we conclude this paper and discuss possible directions of future work.

2. RELATED WORK

Broadly, our work is related to three major areas of natural language research: Text Segmentation, Query Focused Summarization and Document Passage Retrieval. We present a comparison and discussion for each of these areas below:

Text Segmentation: Typically, the problem of automatically chunking text into smaller meaningful units has been addressed by studying changes in vocabulary patterns [6] and building topic models[5]. In [12], the authors adapt the TextTiling algorithm from [6] to use topics instead of words. Most recently, [1] uses semantic word embeddings for the text segmentation task. While supervised approaches tend to perform better, we decided to adapt the state of the art unsupervised text segmentation method proposed in [1], due to the challenges associated with sourcing training data for supervised learning.

Query Focused Summarization: Focused summarization in our context [8], [10] [4] is the task of building summaries of learning materials based on learning objectives. Here, each learning objective can be treated as a *query*, and the learning materials as documents that need to be summarized. However, it is important to note that in the education domain, any such summarization needs to ensure that summarized material is presented in a way that facilitates learning. This poses additional research challenges such as automatically identifying relationships between concepts presented in the material and therefore, in this paper, we do not model our problem as a summarization task. We encourage the reader to consider it as a possible direction for future research.

Document Passage Retrieval: Lastly, document passage retrieval [2] is the task of fetching relevant document passages from a collection of documents based on a user query. However, such tasks typically require the passage boundaries to be well known and therefore, cannot return sub-portions that may be present within a passage or return results that span sub-parts of multiple passages.

3. PROBLEM STATEMENT

Typically, a learning standard consists of a hierarchical organization of learning objectives where learning objectives

are grouped by Topic, Course, Subject and Grade. For the purpose of this paper we refer to a “label” as the complete Grade (g) -> Subject (s) -> Course (c) -> Topic (t) -> Learning Objective (l) path in the learning standard.

Given a document \mathcal{D} of length N we would like to identify the most relevant segments $\phi_{i,j}^{\{g,s,c,t,l\}}$ for a given label $\{g, s, c, t, l\}$ where i, j denote positions in a document i.e $i, j \in [0, N]$ and $i < j$. In the rest of the paper, we denote the learning objective $\{g, s, c, t, l\}$ as e to ease notation.

Figure 1 shows chapter 2 from the the “College Physics” OpenStax textbook⁴. The segments (demarcated using rectangles) have been identified for two learning objectives INST1 and INST2 and occur in different portions of the book. They can even be a sub-part of an existing section in a chapter as shown for INST1.

The next section describes our algorithm for the problem of segmentation and labeling based on learning objectives.

4. OUR METHOD

We represent each sentence as a unit vector s_i , ($0 \leq i \leq N - 1$) in a Dim dimensional space. The goal of segmentation is to find K splits in a document, denoted by (x_0, x_1, \dots, x_K) , where $x_0 = 0$ and $x_K = N$ and x_i denotes the line number specifying the segment boundary such that if the k th segment contains the sentence s_i , then $x_{k-1} \leq i < x_k$. The discovered segment $\phi_{i,j}$ is the segment between the splits x_i and x_j . Depending on the granularity of the learning objectives and the document collection, the optimal number of splits can be set (See section 5). Let the cost function ψ for a segment $\psi(i, j)$ measure the *internal cohesion* of the segment, ($0 \leq i < j \leq N$). The segmentation score for K splits $s = (x_0, x_1, \dots, x_K)$ can then be defined as Ψ :

$$\Psi(s) = \psi(x_0, x_1) + \psi(x_1, x_2) + \dots + \psi(x_{K-1}, x_K)$$

To find the optimal splits in the document based on the cost function Ψ , we use dynamic programming. The cost of splitting $\Psi(N, K)$ is the cost of splitting 0 to N sentences using K splits. So,

$$\Psi(N, 1) = \psi(0, N)$$

$$\Psi(N, K) = \min_{l < N} \Psi(l, K - 1) + \psi(l, N)$$

We define the ψ function as follows:

$$\psi(i, j) = \sum_{i \leq l < j} \|s_l - \mu(i, j)\|^2$$

where $\psi(i, j)$ is analogous to the intra-cluster distance in traditional document clustering while $\mu(i, j)$ is a representative vector of the segment. We discuss possible forms of μ later in this section.

Ranking: Each segment is represented as a normalized vector $\mu(i, j)$ and we determine the most relevant segments to a learning objective e by ranking segments in increasing order of similarity based on cosine similarity.

$$\cos(\mu, e) = \sum_{d=1}^{Dim} \mu_d * e_d$$

⁴<https://openstax.org/details/college-physics>

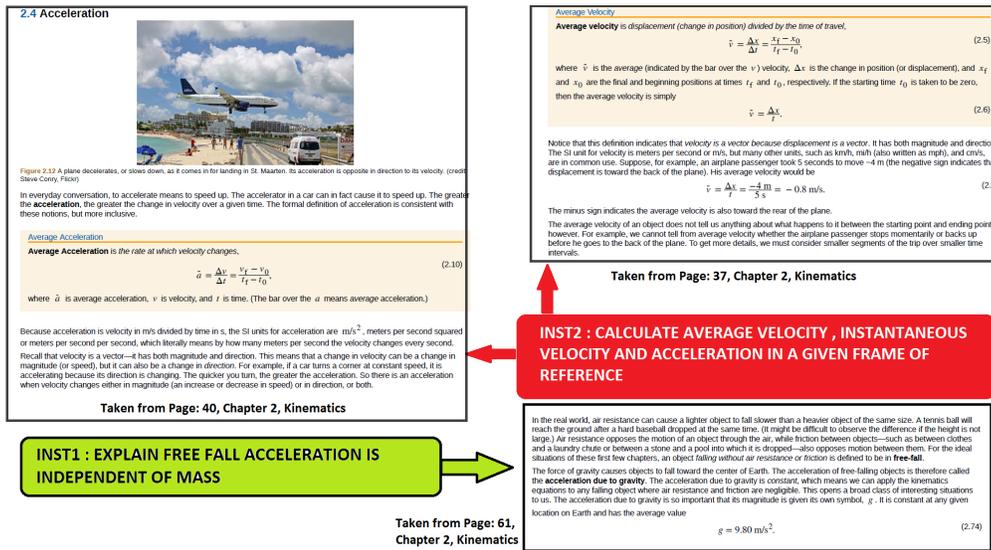


Figure 1: This image shows excerpts from chapter 2 Kinematics from the College Physics text book by OpenStax along with the segment boundaries for two learning objectives INST1 and INST2 shown in colors red and green respectively.

We then select the top n ranked segments as the segments relevant to the learning objective. In section 5.3 we describe how the number of splits K as well as the value of n can be chosen empirically given a validation data set.

We now describe different methods of constructing the document and segment vectors:

TF-IDF: Each sentence is represented as a bag of words, the dimensionality being the vocabulary size. Each word in a sentence v_i is weighted by its *tfidf* measure. For a word v_i in the sentence s_k of a document \mathcal{D} , the *tfidf* measure is given by :

$$tfidf(v_i)_{s_k, \mathcal{D}} = f(v_i, \mathcal{D}) \log \left(\frac{|D|}{df(v_i)} \right)$$

where $f(v_i, d)$ is the frequency of the word v_i in the document d , $|D|$ being the total number of documents in our corpus and $df(v_i)$ is the number of documents with the word v_i in it. The segment vector $\mu(i, j)$ in this case is the mean of the sentence vectors in that segment.

Word Vector: We represented each sentence as a weighted combination of the word vectors in a sentence. The word-vector w_i for each word v_i is specified using Mikolov's Word2Vec[9]. Each sentence s_i is represented as:

$$s_i = \sum_v f(v, d) \log \left(\frac{|D|}{df(v)} \right) w_i$$

The segment vector $\mu(i, j)$ is also the mean vector in this case.

Fisher Vector: Paragraph vectors[7] try to embed the sentences in a fixed dimension, but they require extensive training on the source dataset. Instead we use Fisher Vectors, which have been widely used in the vision community [11] for combining different feature vectors (word vec-

tors in our case), and were recently used for question retrieval by Zhou et.al. [15]. The word vocabulary is modeled as a Gaussian Mixture Model, since a GMM can approximate any continuous arbitrary probability density function. Let $\lambda = \{\theta_j, \mu_j, \Sigma_j, j = 1 \dots N_G\}$ be the parameters of the GMM with N_G Gaussians. Let, $\{w_1, w_2, \dots, w_T\}$ be the vectors for the words v_1, v_2, \dots, v_T in the sentence s_i for which we need to construct the fisher vector. We define $\gamma_j(w_i)$ to be the probability that the word w_i is assigned the gaussian j ,

$$\gamma_j(w_i) = \frac{\theta_j \mathcal{N}(w_i | \mu_j, \Sigma_j)}{\sum_{u=1}^{N_G} \theta_u \mathcal{N}(w_i | \mu_u, \Sigma_u)}$$

We define the gradient vector as the score for a sentence, $G_\lambda(s_i)$ [13]. To compare two sentences, Fisher Kernel is applied on these gradients,

$$\mathcal{K}(s_i, s_j) = G_\lambda(s_i) F_\lambda^{-1} G_\lambda(s_j)$$

where, F_λ is the Fisher Information Matrix,

$$F_\lambda = E_{x \sim p(x|\lambda)} [G_\lambda(s_i) G_\lambda(s_j)^T]$$

F_λ^{-1} can be decomposed as $L_\lambda^T L_\lambda$, hence the Fisher Kernel can be decomposed to two normalized vectors, $\Gamma_\lambda(s_i) = L_\lambda G_\lambda(s_i)$. This $\Gamma_\lambda(s_i)$ is the fisher vector for the sentence

$$\Gamma_{\mu_j^d}(s_i) = \frac{1}{T \sqrt{\theta_j}} \sum_{t=1}^T \gamma_j(w_t) \left(\frac{w_t^d - \mu_j^d}{\sigma_j^d} \right) \quad (1)$$

$$\Gamma_{\sigma_j^d}(s_i) = \frac{1}{T \sqrt{2\theta_j}} \sum_{t=1}^T \gamma_j(w_t) \left[\frac{(w_t^d - \mu_j^d)^2}{(\sigma_j^d)^2} - 1 \right] \quad (2)$$

The final fisher vector is the concatenation of all $\Gamma_{\mu_j^d}(s_i)$ and $\Gamma_{\sigma_j^d}(s_i)$ for all $j = 1 \dots N_G$, $d = 1 \dots Dim$, hence $2 * N_G * Dim$ dimensional vector. We define the segment vector $\mu(i, j)$ as the fisher vector formed by using the word vectors

in the segment, hence giving us a unified representation of the segment.

5. EXPERIMENTS

In this section we evaluate our method for identifying document segments suited for learning objectives.

5.1 Data

We made use of two data sets for our experiments:

AKS labeled Data Set: We use the collection of 110 Science documents used by [3] labeled with 68 learning objectives from the Academic Knowledge and Skills (AKS)⁵. We also used term expansions as described in [3] to increase the context of learning objectives. We further identified document segments (at the sentence level) suitable for the learning standard in each of the documents, where applicable.

To build a collection of documents covering multiple learning objectives, we simulated the creation of large academic documents such as text books, by augmenting each lecture note with 9 randomly selected lecture notes. Thus, for each of the 68 instructions that were covered in our data set, we created 5 larger documents each consisting of 10 documents from the original set, giving us a document collection of 340 large documents, with an average length of 300 sentences.

| Dataset | #Docs | #Avg. Sentences | #Avg. Splits |
|-------------|-------|-----------------|--------------|
| AKS Dataset | 340 | 300 | 10 |
| WikiQA | 8100 | 180 | 10 |

WikiQA Dataset: To show the general applicability of our approach on tasks such as document passage retrieval and QA, we also use the recently released WikiQA data set [14] which consists of 3047 questions sampled from Bing⁶ query logs and associated with answers in a Wikipedia summary paragraph. As outlined in the approach above, for each of the questions, we created a larger document by including 9 other randomly selected answer passages. For each of the 2700 questions from the Train and Test collection we created 3 such documents, thus giving us 8100 documents.

5.2 Evaluation Metrics

We define the following metrics for our evaluation:

MRR (Mean Reciprocal Rank) : The MRR is defined as the reciprocal rank of the of the first correct result in a ranked list of candidate results.

P@N (Precision@N): Let the set of sentences in the top N segments identified be Γ^{Sys} and further, let the set of sentences in the gold standard be Γ^{Gold} . The precision@N is given by :

$$P@N = \frac{|\Gamma^{Sys} \cap \Gamma^{Gold}|}{|\Gamma^{Sys}|} \quad (3)$$

⁵<https://publish.gwinnett.k12.ga.us/gcps/home/public/parents/content/general-info/aks>

⁶<http://www.bing.com>

R@N (Recall@N): Using the same notation described above, the recall @ N is given by :

$$R@N = \frac{|\Gamma^{Sys} \cap \Gamma^{Gold}|}{|\Gamma^{Gold}|} \quad (4)$$

F1@N (F1 Score @N): The F1 Score@N is given by the harmonic mean of the Precision@N and Recall@N described above. **MMA@N (Minimal Match Accuracy@N)** For a collection of D labeled documents, the minimal match accuracy@N is a relaxed value of precision and is given by:

$$\frac{\sum_i^D \mathbb{1}\{|\Gamma_i^{Sys} \cap \Gamma_i^{Gold}| \geq 1\}}{D} \quad (5)$$

where $\mathbb{1}\{\}$ is the indicator function.

5.3 Experimental Setup

For the AKS dataset, we calculate the *idf* using a collection of 6000 Science documents from Wikibooks⁷ and Project Gutenberg⁸. For the WikiQA dataset, *idf* was calculated on the 2700 summaries in the training and test collection. Word vectors and fisher vectors were trained on the full collection of English Wikipedia articles to ensure that the Gaussian Mixture model isn't trained on a skewed dataset and can be used across universally for all kinds of english educational documents. The number of gaussians were selected based on the bayesian information criterion.⁹

Choosing the number of top segments: The number of top ranked segments n and the number of splits K both affect the accuracy of the system. For instance, if we set K to be half the total number of sentences, the resulting segments will be very small. Therefore, the value of n needs to be higher to have adequate coverage (recall). Similarly, choosing very few splits can result in large chunks, which can be problematic if the learning objectives were precise and required finer segments. Thus, the choice of n and K depends on the granularity of specification in the learning objectives as well as the nature of content in the document collection.

We use 20% of the dataset (selected at random) as the validation set for tuning the parameters n and k . By varying both n and K we can determine the value at which the system performance (measured using F1 score) is best. Figure 2 shows the variation in F1 Score for different values of K and n . For clarity of presentation, we only show this for the system using TF-IDF vectors. As can be seen, the *F1* score is best for 10 splits and choosing the 3 best segments closest to the learning objective i.e $K = 10, n = 3$. Figures 3 and 4 show the individual contributions to the *F1* score.

5.4 Results

5.4.1 Document Segmentation and Labeling

On performing segmentation on the AKS dataset using all three vector approaches, we observe (table 1) that the tf-idf vector representation works best. We noticed that many

⁷<http://www.wikibooks.org>

⁸<http://www.gutenberg.org>

⁹An index used for model selection $-2L_m + m \ln n$, where L_m is the maximized likelihood, m are the number of parameters and n is the sample size

| Query Expansion | | @1 | | | @3 | | | @5 | | |
|-----------------|---------|-------|-------|-------|-------|-------|--------------|-------|-------|-------|
| | | P | R | F1 | P | R | F1 | P | R | F1 |
| No Expansion | TFIDF | 0.669 | 0.359 | 0.468 | 0.493 | 0.698 | 0.578 | 0.395 | 0.843 | 0.538 |
| | WORDVEC | 0.462 | 0.357 | 0.403 | 0.331 | 0.633 | 0.434 | 0.284 | 0.829 | 0.423 |
| | FISHER | 0.476 | 0.366 | 0.414 | 0.342 | 0.679 | 0.454 | 0.284 | 0.855 | 0.426 |
| With Expansion | TFIDF | 0.686 | 0.320 | 0.436 | 0.545 | 0.701 | 0.613 | 0.435 | 0.856 | 0.577 |
| | WORDVEC | 0.483 | 0.323 | 0.387 | 0.351 | 0.586 | 0.439 | 0.308 | 0.797 | 0.444 |
| | FISHER | 0.481 | 0.322 | 0.386 | 0.351 | 0.619 | 0.448 | 0.305 | 0.827 | 0.445 |

Table 1: Results on the AKS Labeled Dataset

| | MRR | MMA@1 | MMA@3 | MMA@5 |
|---------|------|-------|--------------|-------|
| TFIDF | 0.78 | 0.652 | 0.905 | 0.882 |
| WORDVEC | 0.56 | 0.429 | 0.635 | 0.782 |
| FISHER | 0.55 | 0.405 | 0.620 | 0.715 |

Table 2: Segment Level Results on AKS Dataset

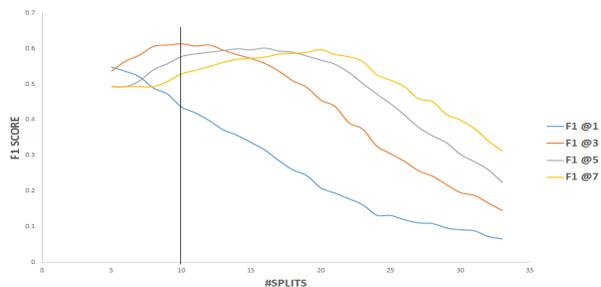


Figure 2: F1 Variation with number of segments at varying depths of retrieval. Best score at 10 segments at depth 3

of the documents in the AKS data set were very well contextualized when changing topics, thus blurring the segment boundaries. For example, in one of the documents which described “Motion in a Straight Line”, the concepts of “velocity”, “acceleration”, “position-time” graphs are intertwined and the topical drift is not easy to observe. As a result, due to the nature of documents in the collection, we hypothesize that the fisher vectors and word vectors which have been trained on large general corpora are unable to adequately distinguish some portions of the text, while the tf-idf vectors which have been tuned on the corpus better reflect the word distributions.

The precision, recall and F1 scores are calculated at the sentence level, thus making it a very strict measure. So we also report segment level accuracy, i.e. how many of the top n segments identified were relevant. A predicted segment is labeled relevant to the external query if at least 70% of the segment overlaps with the gold labeled segments. We evaluate the performance using MRR and MMA@N. Table 2 shows the segment level evaluation of our system.

5.4.2 Passage Retrieval and QA

We also conducted experiments with a more discriminative dataset where the topical shift is not as hard to observe. We report (table 3) an MRR of 0.895 and P@1 of 89.4% for the passage retrieval task on each of the documents generated,

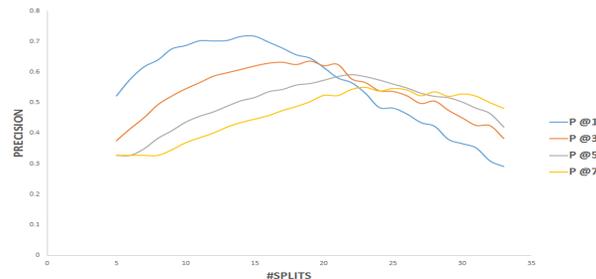


Figure 3: Precision variation with number of segments at varying depths of retrieval. Low values of n and high values of K give high precision. Increasing K while keeping n constant gives a drop in precision.

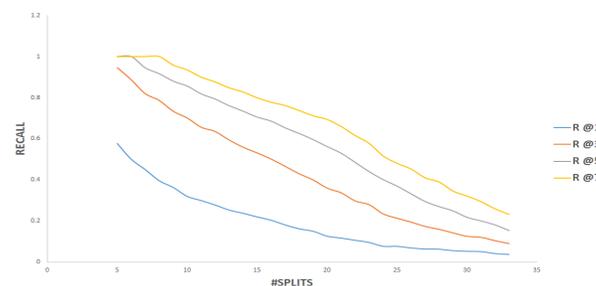


Figure 4: Recall variation with number of segments at varying depths of retrieval. Recall is higher at low values of K and high values of n , and the recall drops considerably as the number of segments K increases.

as described in section 5.1.

Further, we also describe our results on the original task, proposed with the data set, of finding the answer in a passage for a question. In our experiments we report results under two conditions: (a) First identifying the best passage and then choosing the best sentence (b) Assuming the best passage is already known and then choosing the best sentence that answers the query (original WikiQA QA task). Table 4 presents results of experiments under both these conditions. It can be seen that our system gives comparable results under both conditions. The state of the art results under condition (b) as reported in the original paper is an MRR of 0.696. Our system, though not designed for the original task, has an MRR score 10% lower than the best system reported.

| | MRR | MMA@1 | MMA@3 | @1 | | | @3 | | |
|---------|--------------|-------|-------|--------------|-------|-------|-------|-------|-------|
| | | | | P | R | F1 | P | R | F1 |
| TFIDF | 0.807 | 0.797 | 0.812 | 0.839 | 0.893 | 0.865 | 0.308 | 0.958 | 0.466 |
| WORDVEC | 0.895 | 0.877 | 0.913 | 0.894 | 0.914 | 0.904 | 0.315 | 0.984 | 0.478 |
| FISHER | 0.865 | 0.842 | 0.887 | 0.863 | 0.885 | 0.874 | 0.298 | 0.975 | 0.457 |

Table 3: WikiQA Passage Retrieval Results

| | MRR Top Segment | MRR Gold Standard Passage |
|---------|--------------------|------------------------------|
| TFIDF | 0.528 | 0.495 |
| WORDVEC | 0.548 | 0.586 |
| FISHER | 0.577 | 0.597 |

Table 4: Finding the sentence answering the question: “Top segment” uses our system to select the best passage while “Gold standard passage” uses the actual passage labeled in the data set

6. DISCUSSION AND CONCLUSION

In this paper we described the novel task of automatically segmenting and labeling documents with learning standard objectives. Using a state of the art dynamic programming algorithm for text segmentation, we demonstrate its use for this problem and report a sentence level $F1$ score of 0.613 and segment level $MMA@3$ of 0.9. We also demonstrated the effectiveness of our approach on document passage retrieval and QA tasks.

Our method is completely unsupervised and only requires a small validation set for parameter tuning. This makes our work general and easily applicable across different geographies and learning standards. Identifying document segments best suited for learning objectives is a challenging problem. For instance, portions of documents that introduce or summarize topics or build a background in an area are very hard to disambiguate for the algorithm due to the lack of observable topic shifts. Developing more sophisticated cohesion and topical diversity measures to address this problem could be an interesting direction of further research.

In future work, we would also like to explore methods that jointly segment and label documents. We also plan to use other methods of vector construction such as paragraph vectors [7] to better represent segments using a training data set as well as semi-supervised text segmentation methods.

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